

Börse München
Hopfenstraße 4
80335 München
Germany

Person to be admitted

First Name / Last Name

Private Address 1

Street No

Address 2

Zip Code

City

Country

Phone-No.

Fax-No.

E-Mail

Declaration Regarding Personal Reliability

- I. I declare that there are no criminal or fine proceedings pending against me in accordance with §§ 261, 263, 263a, 264a, 265b to 271, 274, 283 to 283d, 299 or 300 of the German Criminal Code or due to a violation of the German Banking Act, the German Securities Trading Act, Regulation (EU) No. 596/2014 of the European Parliament and Council dated 16 April 2014 on market abuse (Market Abuse Directive), Directive (EU) No. 236/2012 (Short Selling Directive), the German Exchange Act, the German Securities Deposit Act, the German Money Laundering Act or the German Investment Act in their respective current version.
- II. I declare that I have not been convicted of such an act nor a fine has been imposed in a legally binding manner.
- III. I declare that whether I nor or a company managed by me has been or is involved in insolvency proceedings or proceedings on submission of an affidavit or comparable proceedings as a debtor.

I am aware that Munich Stock Exchange stores and uses personal data for the purpose of application processing and necessary contacting in order to fulfill its duties arising from the admission of trading participants or exchange traders. Further information can be found in the Privacy Policy at <https://www.boerse-muenchen.de/rechtliche-hinweise>.

With my signature I confirm this use of my personal data and that I have read and understood the Privacy Policy.

Date

Place

Signature of person (Name in Capitals)