

Börse München  
Hopfenstraße 4  
80335 München  
Germany

Applicant:

\_\_\_\_\_  
Name of company for which such person is to be admitted

\_\_\_\_\_  
Address | Street No

\_\_\_\_\_  
Zip Code | City

\_\_\_\_\_  
Country

Contact person:

\_\_\_\_\_  
First Name, Surname

\_\_\_\_\_  
Phone-No. | Fax-No.

**Application for admission as Exchange Trader at the Munich Stock Exchange pursuant to § 17 Paragraph (1), § 20 of the Exchange Rules in conjunction with the Admission Regulations for Exchange Traders on the Munich Stock Exchange**

We herewith apply for the admission of the person stated below as Exchange Trader, pursuant to §17 Paragraph (1), § 20 of the Exchange Rules in conjunction with the Admission Regulations for Exchange Traders of the Munich Stock Exchange, with the authorization to enter into transactions at the Munich Stock Exchange on behalf of our company:

\_\_\_\_\_  
Surname

\_\_\_\_\_  
First name

\_\_\_\_\_  
Date of birth

\_\_\_\_\_  
Phone-No.

\_\_\_\_\_  
E-Mail

*Admission should be valid for:*

- Max-One (Specialist Model)       gettex (Market Maker Model)

We declare that the person to be admitted has the reliability and professional qualification required for securities business on exchanges. In particular, we do not know of any circumstances which, during his/her employment with ourselves or in earlier times, have given rise to suspicions about the personal reliability and professional qualification, or which may lead to the conclusion of such suspicions. In the case of such circumstances occurring and/or

becoming known after the admission has taken place, we will notify you immediately, and/or return the admission.

We are aware of, and accept, the currently binding Rules of the Admission Regulations for Exchange Traders on the Munich Stock Exchange, and will comply with these terms.

We furthermore declare that our company is liable for the completion of all stock exchange transactions conducted by the person stated above.

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Place and Date

Company stamp and authorised signature  
(Name in Capitals)

**Attachments:**

- Declaration Regarding Professional Qualification and Personal Reliability of the Exchange Trader
- Curriculum Vitae (CV)